

OFFICIAL

Attorney Docket No.: 1302-1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application: Bradley J. Swearingen et al.

Serial No.: 09/663,151

Filed: September 15, 2000

Art Unit: 2668

Examiner: Narayanswamy Subramanian

For: Method and System for Executing Trades in a
User Preferred Security

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
CENTRAL FAX CENTER
APR 23 2004
#4/R
com
5/25/04

Response Under 37 C.F.R. §1.111

Dear Sir:

This is a response to the Office Action dated March 23, 2004, which is due for reply by April 23, 2004. In view of the following remarks, reconsideration and withdrawal of the outstanding restriction requirement in this application is respectfully requested.

04/27/2004 AWONDAF1 00000075 09663151

01 FC:1201 172.00 OP
02 FC:1202 360.00 OP

DANAMRAJ & YOUST, P.C.

ATTORNEYS AND COUNSELORS
INTELLECTUAL PROPERTY LAW AND RELATED MATTERS

PREMIER PLACE, SUITE 1450
5910 NORTH CENTRAL EXPRESSWAY
DALLAS, TEXAS 75206
(214) 750-5888 (tel)
(214) 363-8177 (fax)

SHREEN K. DANAMRAJ
LAWRENCE R. YOUST
BRANDI W. SARFATIS
SCOTT T. GRIGGS

Direct Dial: (214) 363-4266
lawrence@dy-iplaw.com

RECEIVED
CENTRAL FAX CENTER
APR 23 2004

April 23, 2004

Via Facsimile 703.872.9306

OFFICIAL

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Rc: Serial No.: 09/663,151
Confirmation No.: ~~2668~~ 3624
Method and System for Executing Trades in a User Preferred Security
Examiner: Narayanswamy Subramanian
Art Unit: 3624
Attorney Docket No.: 1302-1001

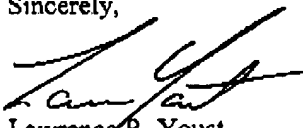
Dear Sir:

Enclosed for filing please find the following items (31 + 1 pages) relating to the above-identified application:

- (1) Response Under 37 C.F.R. §1.111 (30 pages); and
- (2) Form PTO-2038 authorizing payment in the amount of \$532.00 (1 page).

If you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,

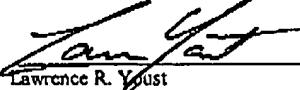


Lawrence R. Youst
Reg. No. 38,795

Enclosures

Certificate of Transmission Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office on April 23, 2004.


Lawrence R. Youst

Serving the Spirit of Innovation®